



Docket No. SUT-9004/DIV
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Utility Patent of:
Hideo SUGAI et al.

Confirmation No.: 7294

Patent No.: 6,744,211
(Divisional of Patent No. 6,339,297)

Issued: June 1, 2004

Application No.: 09/972,944

Filed: October 10, 2000

For: PLASMA DENSITY INFORMATION
MEASURING METHOD PROBE USED FOR
MEASURING PLASMA DENSITY
INFORMATION, A PLASMA DENSITY
INFORMATION MEASURING APPARATUS

PETITION UNDER 37 CFR 1.183

Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that the above-identified patent be corrected to state the name of the proper assignees, Nissin Inc., Hyogo, Japan, and President of Nagoya University, Aiti, Japan.

Background

A Notice of Allowance and Fee(s) Due was mailed in the above-identified application on February 3, 2004. Instead of listing the two proper assignees, Nissin Inc., Hyogo, Japan, and *President of Nagoya University, Aiti, Japan*, item 3 of the executed Part B - Fee(s) Transmittal Form portion (PTOL-85B) of the Notice of Allowance indicates a mistake of a

clerical or typographical nature in that only "Nagoya University, Aiti, Japan" is listed as the name of the second assignee. This mistake, which also appears in the above-identified patent, occurred in good faith and was not the fault of the Patent and Trademark Office.

A copy of the executed Part B - Fee(s) Transmittal Form portion (PTOL-85B) is provided along with this Request as ATTACHMENT A.

Pursuant to 37 C.F.R. §3.81(b), any request for issuance of an application in the name of the assignee submitted after the date of payment of the issue fee, and any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the patent, and must include a request for a Certificate of Correction under 37 C.F.R. §1.323 (accompanied by the fee set forth in 37 C.F.R. §1.20(a)) and the processing fee set forth in 37 C.F.R. §1.17(i).

Statement

The assignment was submitted for recordation as set forth in 37 C.F.R. §3.11 before issuance of the above-identified patent, as follows.

An Assignment and a Recordation Form Cover Sheet were deposited with the U.S. Patent and Trademark Office on July 21, 1999 in the parent of this patent, U.S. Patent No. 6,339,297. This Assignment stated that inventors Hideo Sugai, Seiichi Takasuga, and Naoki Toyoda fully assigned their rights to this patent to Nissin Inc., Hyogo, Japan, and President of Nagoya University, Aiti, Japan. The Notice of Recordation of Assignment Document mailed on September 30, 1999 indicates that this Assignment and the Recordation Form Cover Sheet of May 20, 2004 *have been* recorded by the Assignment Division at reel 010128, frame 0647. The Notice of Recordation of Assignment correctly lists the Assignees, Nissin Inc., Hyogo, Japan, and President of Nagoya University, Aiti, Japan.

A copy of the Assignment and a Recordation Form Cover Sheet of July 21, 1999 and a copy of the Notice of Recordation of Assignment Document mailed on September 30, 1999 are provided along with this Request as ATTACHMENT B.

The above-identified application issued on June 1, 2004 as U.S. Patent No. 6,744,211.

Request for a Certificate of Correction

Two copies of the Certificate of Correction under 37 C.F.R. §1.323 are provided along with this Request as ATTACHMENT C.

Upon this Request and the evidence submitted, Applicant hereby requests that U.S. Patent No. 6,744,211 be corrected to state the name of the proper assignees, Nissin Inc., Hyogo, Japan, and President of Nagoya University, Aiti, Japan. It is believed that this Certificate of Correction does not involve such changes in the above-identified patent as would constitute new matter or would require reexamination.

Processing fee

Please charge Deposit Account No. 18-0013 in the amount of **\$130.00** in payment of the processing fee this Request, as set forth in 37 C.F.R. §1.17(i).

Please charge Deposit Account No. 18-0013 in the amount of **\$100.00** in payment of the Certificate of Correction, as set forth in 37 C.F.R. §1.20(a).

If any fee is required or any overpayment made, the Commissioner is hereby authorized to charge the fee or credit the overpayment to Deposit Account # 18-0013.

Dated: December 12, 2006

Respectfully submitted,

By  

David T. Nikaido

Registration No.: 22,663

Brian K. Dutton

Registration No.: 47,255

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Attorney for Applicant

Application No.: 09/972,944

Docket No.: SUT-9004/DIV

ATTACHMENT A

Application No.: 09/972,944

Docket No.: SUT-9004/DIV

ATTACHMENT B



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 30, 1999

PTAS

NIKAIDO, MARMELSTEIN, MURRAY, ET AL.
GEORGE E. ORAM, JR.
655 15TH ST., N.W., METROPOLITAIN SQUARE
SUITE 330 - G STREET LOBBY
WASHINGTON, D.C. 20005-57041



101107304A

**UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/21/1999

REEL/FRAME: 010128/0647
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SUGAI, HIDEO

DOC DATE: 07/02/1999

ASSIGNOR:

TAKASUGA, SEIICHI

DOC DATE: 07/01/1999

ASSIGNOR:

TOYODA, NAOKI

DOC DATE: 07/01/1999

ASSIGNEE:

NISSIN INC.
10-7, KAMEI-CHO
TAKARAZUKA-SHI
HYOGO-KEN 665-0047, JAPAN

ASSIGNEE:

PRESIDENT OF NAGOYA UNIVERSITY
FURO-CHO, CHIKUSA-KU, NAGOYA-SHI
AITI-KEN 464-8601, JAPAN

parent

010128/0647 PAGE 2

SERIAL NUMBER: 09357773
PATENT NUMBER:

FILING DATE: 07/21/1999
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

08-02-1999

Commerce
Office

P7254-9004

1999



101107304

10551 U.S. PTO
09/357773

07/21/99

To the Honorable Commissioner of Patents and Trademarks:
Please record the attached original documents or copy thereof

1. Name of conveying party(ies)

(1) Hideo SUGAI; (2) Seiichi TAKASUGA; (3) Naoki TOYODA

Additional name(s) of conveying party(ies) attached?

Yes

XX No

2. Name and address of receiving party(ies):

Name: (1) NISSIN INC.;

(2) PRESIDENT OF NAGOYA UNIVERSITY

Street Address: (1) 10-7, Kamei-cho,

Takarazuka-shi, Hyogo-ken 665-0047, Japan;

(2) Furo-cho, Chikusa-ku, Nagoya-shi,

Aichi-ken 464-8601, Japan

City: State: Zip:

Additional name(s) & address(es) attached?

Yes

XX No

3. Nature of conveyance:

XX Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: (1) 7/2/99; (2)&(3) 7/1/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application

is: (1) 7/2/99; (2)&(3) 7/1/99

A. Patent Application No.(s)

B. Patent No.(s)

New Application

Additional numbers attached? Yes

XX No

5. Name and address of party to whom correspondence concerning document should be mailed:

NIKAIDO, MARMELESTEIN, MURRAY & ORAM LLP

Internal Address:

Street Address: Metropolitan Square, 655 15th St., N.W.,

City: Washington, DC 20005-5701

State: DC Zip: 20005-5701

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)..... \$ 40.00

XX Included in attached Check #20230

XX Any additional fees are authorized to be charged to deposit account

8. Deposit account number:

14-1060

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George E. Oram, Jr.
Name of Person Signing
Reg. No. 27,931

Signature

July 21, 1999
Date

Total number of pages including cover sheet, attachments and document: 2

Docket No. _____

U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

(1) NISSIN Inc. whose address is 10-7, Kamei-cho, Takarazuka-shi,

Hyogo-ken 665-0047, Japan

(2) the President of Nagoya University whose address is Furo-cho,

Chikusa-ku, Nagoya-shi, Aiti-ken 464-8601, Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled

PLASMA DENSITY INFORMATION MEASURING METHOD, PROBE USED FOR MEASURING

PLASMA DENSITY INFORMATION, AND PLASMA DENSITY INFORMATION MEASURING APPARATUS

for which application for Letters Patent of the United States was executed on even date herewith unless otherwise indicated below:

*filed on _____, Serial No. _____

(Nikaido, Marmelstein, Murray & Oram is hereby authorized to insert the series code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute, reissue or reexamination thereof for the full term or terms for which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with application and any continuing, divisional, reissue or reexamination applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference, litigation, or other legal proceeding which may be declared concerning this application or any continuation, division, reissue or reexamination thereof or Letters Patent or reissue patent issued thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).
(Day/Month/Year)

(Signatures)

H. Sugai
(SIGNATURE)

Hideo SUGAI

(TYPE NAME)

2/7/1999

(DATE)

S. Takasuga
(SIGNATURE)

Seiichi TAKASUGA

(TYPE NAME)

1/7/1999

(DATE)

N. Toyoda
(SIGNATURE)

Naoki TOYODA

(TYPE NAME)

1/7/1999

(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

(SIGNATURE)

(TYPE NAME)

(DATE)

Application No.: 09/972,944

Docket No.: SUT-9004/DIV

ATTACHMENT C

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,744,211
DATED : June 1, 2004
INVENTOR(S) : Hideo Sugai et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Second Assignee:

From "Nagoya University" to --President of Nagoya University--.

MAILING ADDRESS OF SENDER:

David T. Nikaido
Rader, Fishman & Grauer PLLC
1233 20th Street, N.W.
Suite 501
Washington; DC 20036

PATENT NO. 6,744,211

No. of additional copies 1